



CONFIDENTIAL PLANNING QUESTIONNAIRE

Tell us where you are today and what you want your plan to accomplish.

Complete the form below, then send your responses to John Marty by email. Your email program will open with the questionnaire already filled into the message body.

Client Information

Name(s)

Preferred phone

Email

Address

What prompted you to seek guidance now?

Planning Goals

- ☐ Clarify investment strategy and risk tolerance
- ☐ Prepare for retirement or improve retirement income confidence
- ☐ Coordinate estate, beneficiary, and legacy planning
- ☐ Evaluate real estate holdings, mortgages, or property financing
- ☐ Review life insurance coverage and family protection needs

Top three financial priorities

Investment Management

What investment accounts do you currently have?

How would you describe your comfort with market volatility?

Are there concentrated positions, restricted stock, private investments, or tax-sensitive assets to consider?

Retirement Planning

What age or timeframe are you targeting for retirement or reduced work?

What annual lifestyle spending would you like your plan to support?

What income sources do you expect?

Retirement goals, concerns, or unknowns

Estate Planning

- ☐ Will
- ☐ Trust
- ☐ Durable power of attorney
- ☐ Healthcare directive

When were your estate documents last reviewed?

Estate planning wishes or coordination needs

Real Estate and Financing

List real estate holdings, including residence, rental, commercial, land, or other property.

For each property, note estimated value, mortgage balance, interest rate, payment, term, and maturity date.

Are you considering buying, selling, refinancing, remodeling, exchanging, or gifting property?

Life Insurance Planning

What existing life insurance policies do you own?

What is the purpose of each policy?

Have your family, business, debt, or estate needs changed since coverage was purchased?

Documents to Discuss

- ☐ Investment and retirement statements
- ☐ Recent tax return
- ☐ Estate planning documents
- ☐ Mortgage statements and real estate debt details
- ☐ Life insurance policy statements

Other questions or documents

Email questionnaire to John Marty

Clear form

Download PDF version

This form uses your email program to send responses to Jmarty@investmentcapadv.com. Please review the message before sending.